

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Inventors: Dennis R. Berman
Title: LOCK-IN TRAINING SYSTEM
Filing Date: 3/31/2004
Serial Number: Unknown
Group Art Unit: Unknown
Examiner: Unknown

On an enclosed Form PTO-1449 is information known to applicant(s) and submitted pursuant to 37 C.F.R. § 1.56. A copy of each foreign patent is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. § 1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. The Examiner is requested to initial and return the enclosed Form PTO-1449 in accordance with MPEP § 609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56.

☒ This statement qualifies under 37 C.F.R. § 1.97, subsection (b) because (check all that apply):

- ☒ (1) It is being filed within 3 months of the application filing date
-- OR --
☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
☒ (3) It is being filed before the mail date of the first Office Action on the merits.

☐ 37 C.F.R. § 1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in § 1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, then:

- ☐ a certification as specified in § 1.97(e) is provided below; or
☐ a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. § 1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, but before payment of the issue fee, then:

- A. a certification as specified in § 1.97(e) is completed below; and
B. a petition under 37 C.F.R. § 1.97(d) requesting consideration of this statement is submitted herewith; and

C. a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ Statement under 37 C.F.R. §1.97(e) - I hereby certify that either: each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the information disclosure statement; or no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

Name: Hoyt A. Fleming III
Reg. No.: 41,752

Signature: _____



Date: 3/31/2004

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INFORMATION DISCLOSURE CITATION PTO-1449			Complete if Known			
			Application No.		Unknown	
			Filing Date		March 31, 2004	
			First Named Inventor		Dennis R. Berman	
			Group Art Unit		Unknown	
			Atty. Docket No.		TRV00-0001-R-1	
		Page Number		1 of 3		
U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,002,491	03/26/91	Abrahamson et al.	434	322	04/28/89
	5,267,865	12/07/93	Lee et al.	434	360	02/11/92
	5,310,349	05/10/94	Daniels et al.	434	350	04/30/92
	5,441,415	08/15/95	Lee et al.	434	350	12/06/93
	5,616,033	04/01/97	Kerwin	434	118	08/03/94
	5,692,906	12/02/97	Corder	434	156	06/07/95
	5,788,508	08/04/98	Lee et al.	434	350	06/07/95
	5,810,605	09/22/98	Siefert	434	362	11/04/94
	5,823,788	10/20/98	Lemelson et al.	434	350	11/13/95
	5,957,699	09/28/99	Peterson et al.	434	350	12/22/97
	5,978,648	11/02/99	George et al.	434	362	03/06/97
	6,024,577	02/15/00	Wadahama et al.	434	322	11/04/97
	6,125,358	09/26/00	Hubbell et al.	706	11	12/22/98
	6,146,148	11/14/00	Stuppy	434	322	03/25/99
	6,148,174	11/14/00	Remschel	434	350	11/14/97
	6,149,438	11/21/00	Richard et al.	434	322	06/07/95
	6,149,441	11/21/00	Pellegrino et al.	434	350	11/06/98
	4,958,284	09/18/90	Bishop et al.	364	419	12/06/88
	5,002,865	03/26/91	Kumashiro et al.	430	558	02/26/90
	5,011,413	04/30/91	Ferris et al.	434	358	07/19/89
	5,987,302	11/16/99	Driscoll et al.	434	353	03/20/98
	6,115,683	09/05/00	Burstein et al.	704	001	03/31/97
	6,120,297	09/19/00	Morse, III et al.	434	169	08/25/98
	6,168,440	01/02/01	Clark et al.	434	322	08/28/98
	6,181,909	01/30/01	Burstein et al.	434	353	07/22/98
	6,226,611	05/01/01	Neumeyer et al.	704	246	01/26/00
EXAMINER			DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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		Page Number		2 of 3		
U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	6,254,395	07/03/01	Breland et al.	434	156	04/12/99
	6,282,404	08/28/01	Linton	434	350	9/22/99
	6,287,123	09/11/01	O'Brien	434	118	03/29/99
	6,292,792	09/18/01	Baffes et al.	706	45	03/26/99
	6,295,439	09/25/01	Bejar et al.	434	350	3/21/97
	6,302,698	10/16/01	Ziv-El	434	323	02/16/99
	6,311,040	10/30/01	Kucinski et al.	434	350	07/31/97
	6,343,935	02/05/02	Clements	434	156	03/01/00
	5,696,962	12/09/97	Kupiec	395	604	05/08/96
	5,987,443	11/16/99	Nichols et al.	706	11	12/22/98
	6,411,924	06/25/02	de Hita et al.	704	9	01/23/98
	5,597,312	01/28/97	Bloom et al.	434	362	05/04/94
	6,470,170	10/22/02	Chen et al.	434	350	05/16/01
	6,554,618	04/29/03	Lockwood	434	322	04/20/01
	5,307,266	04/26/94	Hayashi et al.	364	419.07	08/07/91
	5,689,716	11/18/97	Chen	395	761	04/14/95
	5,694,523	12/02/97	Wical	395	12	05/31/95
	5,708,822	01/13/98	Wical	395	751	05/31/95
	6,029,043	02/22/00	Ho et al.	434	350	01/29/98
	6,160,987	12/12/00	Ho et al.	434	350	12/29/99
	6,208,832	03/27/01	Remschel	434	350	11/14/97
	5,475,588	12/12/95	Schabes et al.	364	419.08	06/18/93
	5,519,608	05/21/96	Kupiec	364	419.08	06/24/93
	5,528,491	06/18/96	Kuno et al.	364	419.08	08/31/92
	5,768,580	06/16/98	Wical	395	613	05/31/95
	6,199,034	03/06/01	Wical	704	9	04/14/98
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U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,002,491	03/26/91	Abrahamson et al.	434	322	04/28/89
	6,067,538	05/23/00	Zorba et al.	706	47	12/22/98
	6,164,974	12/26/00	Carlile et al.	434	322	03/27/98
	6,256,399	07/03/01	Poor	382	100	09/27/99
	6,267,601	07/31/01	Jongsma et al.	434	323	12/05/97
	6,356,864	03/12/02	Foltz et al.	704	1	07/23/98
	6,493,690	12/10/02	Bertrand et al.	706	45	02/10/00
	6,584,470	06/24/03	Veale	707	102	03/01/01
	4,833,610	05/23/89	Zamora et al.	364	419	03/07/88
	5,168,565	12/01/92	Morita	395	600	01/13/89
	5,265,065	11/23/93	Turtle	395	600	10/08/91
	5,325,465	06/28/94	Hung et al.	395	63	03/04/92
	5,463,773	10/31/95	Sakakibara et al.	395	600	05/25/93
	6,173,251	01/09/01	Ito et al.	704	7	07/28/98
	6,345,270	02/05/02	Tanaka	707	4	03/19/98
	6,553,382	04/22/03	Hatori	707	102	03/14/96
	4,817,036	03/28/89	Millett et al.	364	900	03/15/85
	5,384,703	01/24/95	Withgott et al.	364	419.19	07/02/93
	5,424,947	06/13/95	Nagao et al.	364	419.08	06/12/91
	5,442,780	08/15/95	Takanashi et al.	395	600	07/08/92

FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
	EP 1008975 A2	06/14/00	EP	G09B	7/04	<input type="checkbox"/>	<input checked="" type="checkbox"/>

EXAMINER	DATE CONSIDERED
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